

Summary Internal Controls Assurance (SICA) Report 2022/2023 (TIAA, Chris Harris)

Synopsis of report:

To inform Members on the progress made to date by TIAA, the Council's internal auditors, on the 2022/2023 Internal Audit Annual Plan.

Recommendation(s):

None. This report is for information.

1. Context of report

- 1.1 Attached at Appendix 'A' is the most recent Summary Internal Controls Assurance (SICA) Report, as at 27 June 2022.

2. Report

- 2.1 The report identifies 2 audits completed since the last meeting of this Committee. These are for Freedom of Information and Housing Rents; both of which received a 'substantial' evaluation. There were 2 priority 3 recommendations for Freedom of Information and 1 for Housing Rents.
- 2.2 Fieldwork is in progress for 8 audits from the 2021/2022 audit programme, and 5 audits in the 2022/2023 programme. Three Draft reports have been issued and a further 12 have been finalised. TIAA's Audit Director will provide a verbal update at the meeting.
- 2.3 Members will be pleased to learn that the deferred audit on HR Absence Management has now started.
- 2.4 One fraud alert has been issued by TIAA which can be circulated to Members of the Committee separately, on request.

3. Resource implications

- 3.1 The audit service is budgeted for in the Council's annual budgets, with a small contingency to cover unforeseen audits.

4. Legal and Equality implications

- 4.1 None.

(For information)

Background papers

Relevant Internal Audit working files and reports